

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jun-ichi Nezu et al.Art Unit : 1645Serial No. : 10/006,611Examiner : Unknown

Filed: November 30, 2001

Title : LKB1 GENE KNOCKOUT ANIMALS

#### **BOX MISSING PART**

U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

### PRELIMINARY AMENDMENT

In response to the communication dated February 13, 2002 (copy enclosed), applicants submit herewith a Sequence Listing in computer readable form as required by 37 CFR §1.824. In addition, applicants submit a substitute Sequence Listing as required under 37 CFR §1.823(a) and a statement under 37 CFR §1.821(f).

Applicants respectfully request entry of the paper copy and computer readable copy of the Sequence Listing filed herewith for the instant application. Furthermore, applicants request entry of the following amendments.

### In the specification:

Replace the original Sequence Listing with the substitute Sequence Listing filed herewith.

#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the BOX SEQUENCE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

Applicant: Jun-ichi Nezu et al.

Serial No.: 10/006,611

: November 30, 2001 Filed

: 2 Page

Ē

Replace the paragraph beginning at page 10, line 6, with the following rewritten paragraph:

FIG. 2 shows the nucleotide sequence of the mouse LKB1 gene from exon 2 to exon 10 (SEQ ID NO:3). The exon regions are indicated in uppercase letters and intron regions in lowercase letters.

Attorney's Docket No.: 06501-094001

Replace the paragraph beginning at page 10, line 14, with the following rewritten paragraph:

FIG. 6 shows the structures of the F23 synthetic linker (SEQ ID NOs:11 and 12) and loxP2 synthetic linker (SEQ ID NOs:13 and 14).

Applicant: Jun-ichi Nezu et al.

Serial No.: 10/006,611

Filed Page : November 30 : 3



## REMARKS

Applicants hereby submit that the enclosures fulfill the requirements under 37 C.F.R. §1.821-1.825. The amendments in the specification merely insert sequence identifiers in the specification and replace the paper copy of the Sequence Listing with an amended substitute Sequence Listing. In particular, the general information (i.e., attorney docket number, serial number, filing date) has been updated to reflect the general information of the instant application. No new matter has been added.

Attached hereto is a marked-up version of the changes made to the specification by the current amendment.

Please apply any charges or credits to Deposit Account No. 06-1050, referencing attorney docket no. 06501-094001.

Respectfully submitted,

Janis K. Fraser, Ph.D., J.D.

Reg. No. 34,819

Fish & Richardson P.C. 225 Franklin Street

Boston, Massachusetts 02110-2804

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

20399293.doc

Applicant: Jun-ichi Nezu et al. Attorney's Docket No.: 06501-094001

Serial No.: 10/006,611

Filed: November 30, 2001

Page: 4

## "Version With Markings to Show Changes Made"

# In the specification:

Paragraph beginning at page 10, line 6, has been amended as follows:

FIG. 2 shows the nucleotide sequence of the mouse LKB1 gene from exon 2 to exon 10 (SEQ ID NO:3). The exon regions are indicated in uppercase letters and intron regions in lowercase letters.

Paragraph beginning at page 10, line 14, has been amended as follows:

FIG. 6 shows the structures of the F23 synthetic linker (SEQ ID NOs:11 and 12) and loxP2 synthetic linker (SEQ ID NOs:13 and 14).

